



## Mortgage Loan Originator Preliminary Advisory Opinion Request

Please complete this form and return to:

Division of Real Estate  
1560 Broadway, suite 925  
Denver, CO. 80202

Or Fax to:

Division of Real Estate  
Attn: Mortgage Loan Originator Licensing Department  
Fax: 303-894-2683

**The information described in this form is required if you are seeking a preliminary advisory opinion. Incomplete requests will not be processed. An individual seeking a preliminary advisory opinion is not an applicant for licensure and the issuance of an unfavorable opinion shall not prevent such individual from making application for licensure pursuant to the Mortgage Loan Originator Licensing Act. Preliminary advisory opinions are not binding on the Director nor does it limit the Director's authority to investigate a formal application for licensure.**

Your name: \_\_\_\_\_  
(First Name) (Last Name) (Middle Initial)

Address: \_\_\_\_\_  
(Number and Street) (City) (State) (Postal Code)

Social Security Number: \_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_

Phone Number(s): \_\_\_\_\_  
(Phone Number) (Cell Phone)

I am requesting a preliminary advisory opinion for at least one of the following reasons (please check each reason for this request):

- Criminal history;
- Injunction relating to deceptive conduct;
- Disciplinary action regarding other applicable professional licenses, registrations or certifications; or
- Consumer Protection Act Violation.

In order to receive a preliminary advisory opinion, you are required to submit all relevant information, a written and signed personal explanation and detailed account of the facts and circumstances. Incomplete requests will not be reviewed or processed. Relevant information may include, but is not limited to:

1. Final agency orders;
2. Stipulated agreements;
3. Police officer reports;
4. Court documents;
5. Disposition documents; and
6. Original charges documents.

The Division of Real Estate will issue you a favorable or an unfavorable opinion within 5-10 business days of receipt of a completed request. Incomplete requests will be returned without any review.

\_\_\_\_\_  
(Printed Name)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)