

Mortgage Loan Originator Program Exemption Checklist

Contact Person: _____ Phone Number: _____

Company Name: _____

Company Address: _____

City/State/Zip Code: _____

Reason for exemption

_____ 12-61-904 (1)(c), C.R.S. – Banks, savings banks, savings and loan association, building and loan association, industrial bank, industrial loan company, credit union or bank or savings association holding company organized under the laws of any state, the District of Columbia, a territory or protectorate of the United States, or the United States, subject to regulation and supervision by a federal banking agency, or an operating subsidiary of such entities, or an employee or exclusive agent of any of such entities, including, without limitation, a subsidiary of such entities.

_____ - State or National FDIC number

_____ - Written request and explanation

_____ - Statement of how workers are paid (W-2 vs. 1099)

_____ - All supporting documentation

_____ - List and total number of exempt employees

_____ - Contact for future lists and verifications of employment

Printed name

Signature

Date

Please note: The Division of Real Estate requires original exemption packages with original signatures. The Division will not process incomplete or faxed exemption packages. It is pertinent that you are able to prove that you are either one of the types of institutions described in section 12-61-904(1)(c), C.R.S. or that your organization is a subsidiary of these types of institutions.