

COLORADO DEPARTMENT OF REGULATORY AGENCIES

Public Utilities Commission

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PART 3 RULES REGULATING ELECTRIC UTILITIES

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[indicates omission of unaffected rules]

3001. Definitions.

The following definitions apply throughout this Part 3, except where a specific rule or statute provides otherwise. In addition to the definitions here, the definitions found in the Public Utilities Law and Part 1 apply to these rules. In the event of a conflict between these definitions and a statutory definition, the statutory definition shall apply. In the event of a conflict between these definitions and a definition in Part 1, these definitions shall apply.

- (a) "Affiliate" of a utility means a subsidiary of a utility, a parent corporation of a utility, a joint venture organized as a separate corporation or partnership to the extent of the individual utility's involvement with the joint venture, a subsidiary of a parent corporation of a utility or where the utility or the parent corporation has a controlling interest over an entity.
- (b) "Aggregated data" means data resulting from processing (e.g. average of a group of customers) and/or combining customer data of more than one customer from which all customer-identifying information (e.g. name or account number) and personal information has been removed, alone or in combination with other data.
- (c) "Applicant for service" means a person who applies for utility service and who either has taken no previous utility service from that utility or has not taken utility service from that utility within the most recent 30 days.
- (d) "Average error" means the arithmetic average of the percent registration at light load and at heavy load, giving the heavy load registration a weight of four and the light load registration a weight of one.
- (e) "Basis point" means one-hundredth of a percentage point (100 basis points = 1 percent).
- (f) "Benefit of service" means the use of utility service by each person of legal age who resides at a premises to which service is delivered and who is not registered with the utility as the customer of record.

- (g) "Commission" means the Colorado Public Utilities Commission.
- (h) "Contracted agent" means any person that has contracted with a utility in compliance with rule 3030 to assist in the provision of regulated utility services (e.g., an affiliate or vendor).
- (i) "Customer" means any person that is currently receiving utility service. Any person that moves within a utility's service territory and obtains utility service at a new location within 30 days shall be considered a "customer." Unless stated in a particular rule, "customer" applies to any class of customer as defined by the Commission or by utility tariff.
- (j) "Customer data" means customer specific information, excluding personal information as defined in rule 1004(x), that is:
 - (I) collected from the electric meter by the utility and stored in its data systems (e.g., kWh, kW, voltage, VARs and power factor);
 - (II) included on bills issued to the customer for regulated utility service when not publicly or lawfully available to the general public; or,
 - (III) related to the customer's participation in regulated utility programs, such as renewable energy, demand-side management, load management, or energy efficiency programs.
- (k) "Creep" means that, with all load wires disconnected, a meter's moving element makes one complete revolution in ten minutes or less.
- (l) "Distribution extension" is any construction of distribution facilities, including primary and secondary distribution lines, transformers, service laterals, and appurtenant facilities (except meters and meter installation facilities), necessary to supply service to one or more additional customers.
- (m) "Distribution facilities" are those lines designed to operate at the utility's distribution voltages in the area as defined in the utility's tariffs including substation transformers that transform electricity to a distribution voltage and also includes other equipment within a transforming substation which is not integral to the circuitry of the utility's transmission system.
- (n) "Energy assistance organization" means the nonprofit corporation established for low-income energy assistance pursuant to § 40-8.5-104, C.R.S.
- (o) "Heavy load" means not less than 60 percent, but not more than 100 percent, of the nameplate-rated capacity of a meter.
- (p) "Informal complaint" means an informal complaint as defined and discussed in the Commission's Rules Regulating Practice and Procedure.
- (q) "Light load" means approximately five to ten percent of the nameplate-rated capacity of a meter.

- (r) "Load" means the power consumed by an electric utility customer over time (measured in terms of either demand or energy or both).
- (s) "Local government" means any Colorado county, municipality, city and county, home rule city or town, home rule city and county, or city or town operating under a territorial charter.
- (t) "Local office" means any Colorado office operated by a utility at which persons may make requests to establish or to discontinue utility service. If the utility does not operate an office in Colorado, "local office" means any office operated by a utility at which persons may make requests to establish or to discontinue utility service in Colorado.
- (u) "Main service terminal" means the point at which the utility's metering connections terminate. Main service terminals are accessed by removing the meter dial face from the meter housing.
- (v) "MVA" means mega-volt amperes and is the vector sum of the real power and the reactive power.
- (w) "Non-standard customer data" means all customer data that are not standard customer data.
- (x) "Output" means the energy and power produced by a generation system.
- (y) "Past due" means the point at which a utility can affect a customer's account for regulated service due to non-payment of charges for regulated service.
- (z) "Principal place of business" means the place, in or out of the State of Colorado, where the executive or managing principals who directly oversee the utility's operations in Colorado are located.
- (aa) "Property Owner" means the legal owner of government record for a real property within the service territory of a utility. A utility may rely upon a county clerk for the county within which a property is located to determine ownership of government record.
- (bb) "Reference standard" means suitable indicating electrical equipment permanently mounted in a utility's laboratory and used for no purpose other than testing rotating standards.
- (cc) "Regulated charges" means charges billed by a utility to a customer if such charges are approved by the Commission or contained in a tariff of the utility.
- (dd) "Rotating standard" means a portable meter used for testing service meters.
- (ee) "RUS" means the Rural Utilities Service of the United States Department of Agriculture, or its successor agencies.
- (ff) "Security" includes any stock, bond, note, or other evidence of indebtedness.
- (gg) "Service connection" is the location on the customer's premises/facilities at which a point of delivery of power between the utility and the customer is established. For example, in the case of a typical residential customer served from overhead secondary supply, this is the location at which the utility's electric service drop conductors are physically connected to the customer's electric service entrance conductors.

- (hh) "Staff" means Staff of the Public Utilities Commission.
- (ii) "Standard customer data" means customer data maintained by a utility in its systems in the ordinary course of business.
- (jj) "Third party" means a person who is not the customer, an agent of the customer who has been designated by the customer with the utility and is acting on the customer's behalf, a regulated utility serving the customer, or a contracted agent of the utility.
- (kk) "Transmission extension" is any construction of transmission facilities and appurtenant facilities, including meter installation facilities (except meters), which is connected to and enlarges the utility's transmission system and which is necessary to supply transmission service to one or more additional customers.
- (ll) "Transmission facilities" are those lines and related substations designed and operating at voltage levels above the utility's voltages for distribution facilities , including but not limited to related substation facilities such as transformers, capacitor banks, or breakers that are integral to the circuitry of the utility's transmission system.
- (mm) "Unique identifier" means customer identifying information that is displayed on a bill including name, mailing address, telephone number, or email address.
- (nn) "Unregulated charges" means charges that are billed by a utility to a customer and that are not regulated or approved by the Commission, are not contained in a tariff filed with the Commission, and are for service or merchandise not required as a condition of receiving regulated utility service.
- (oo) "Utility" means any public utility as defined in § 40-1-103, C.R.S., providing electric, steam, or associated services in the state of Colorado.
- (pp) "Utility service" or "service" means a service offering of a utility, which service offering is regulated by the Commission.
- (qq) "Whole building data" means the sum of the monthly electric use for all service connections at a real property address.

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[indicates omission of unaffected rules]

3011. – 3024. [Reserved].

CUSTOMER DATA ACCESS AND PRIVACY

3025. Scope and Applicability

The basis and purpose of these rules is to describe the protection of and limited access to customer data for electric utilities over which the Commission has jurisdiction. These rules are applicable to all utilities except for certain provisions as defined in the rule. For the purpose of the Customer Data Access and Privacy Rules, electric utilities are classed into two tiers: a Tier I electric utility serves more than 150,000 electric customers; a Tier II electric utility serves 150,000 or fewer electric customers.

3026. Customer Data.

- (a) A utility shall maintain standard customer data sufficient to allow a customer to understand their energy usage at a level of detail commensurate with the meter or network technology used to serve the customer's premise.

3027. Privacy, Access, and Disclosure.

- (a) A utility shall protect customer data in the utility's possession or control to maintain the privacy of customers, while providing reasonable access to that data . A utility is only authorized to use customer data to provide regulated utility service in the ordinary course of business.
- (b) A utility shall not disclose customer data unless such disclosure conforms to these rules, except as required by law or to comply with Commission rule. Illustratively, this includes responses to requests of the Commission, warrants, subpoenas, court orders, or as authorized by § 16-15.5-102, C.R.S.
- (c) A utility shall include in its tariffs a description of customer data that the utility is able to provide to the customer or to any third party recipient to whom the customer has authorized disclosure of the customer's data within the utility's technological and data capabilities. At a minimum, the utility's tariff must provide the following:
 - (I) a description of standard customer data and non-standard customer data and the frequency of customer data updates that will be available (annual, monthly, daily, etc.);
 - (II) the method and frequency of customer data transmittal and access available (electronic, paper, etc.) as well as the security protections or requirements for such transmittal;
 - (III) a timeframe for processing requests;
 - (IV) any rate associated with processing a request for non-standard customer data; and
 - (V) any charges associated with obtaining non-standard customer data.

- (d) No later than January 1, 2016, a Tier 1 utility must make access to a customer's standard customer data conform to the Energy Services Provider Interface standard format. As part of basic utility service, a utility shall provide access to the customer's standard customer data in electronic machine-readable form, without additional charge, to the customer or to any third party recipient to whom the customer has authorized disclosure of the customer's customer data. Such access shall conform to nationally recognized open standards and best practices. The utility shall provide access in a manner that ensures adequate protections for the utility's system security and the continued privacy of the customer data during transmission.
- (e) Nothing in these rules shall limit a customer's right to provide his or her customer data to anyone.
- (f) A utility and each of its directors, officers and employees that discloses customer data pursuant to a customer's authorization in accordance with these data privacy rules shall not be liable or responsible for any claims for loss or damages resulting from the utility's disclosure of customer data.

3028. Customer Notice.

- (a) A utility shall provide each year to its customers a written notice complying with this rule. The utility shall conspicuously post on its website notice of its privacy and security policies governing access to and disclosure of customer data and aggregated data to third parties. This notice shall:
 - (I) summarize the different elements of customer data and advise customers that their customer data may provide insight into their activities within the premises receiving service;
 - (II) include a description of standard customer data;
 - (III) explain the frequency with which the utility collects and stores customer data and the frequency that the customer can obtain customer data;
 - (IV) inform customers that the privacy and security of their customer data will be protected by the utility while in its possession;
 - (V) explain that customers can access their standard customer data, as identified by the utility's tariff, without additional charge;
 - (VI) advise customers that their customer data will not be disclosed to third parties, except:
 - (A) as necessary to provide regulated utility services to the customers,
 - (B) as otherwise permitted or required by law or Commission rule, or
 - (C) pursuant to the authorization given by the customer in accordance with these rules.
 - (VII) describe the utility's policies regarding how a customer can authorize access and disclosure of their customer data to third parties. With regard to such third party data disclosure, the notice shall:

- (A) inform customers that declining a request for disclosure of customer data to a third party will not affect the provision of utility service that the customer receives from the utility; and
 - (B) explain that any customer consent for access to, disclosure of, or use of a customer's customer data by a third party may be terminated or limited by the customer of record at any time and inform the customers of the process for doing so.
- (VIII) explain that aggregated data does not contain customer identifying information and inform customers that customer data may be used to create aggregated data that will not contain customer identifying information;
- (IX) explain that the utility may provide aggregated data to third parties, subject to its obligation under paragraph 3033(b);
- (X) be viewable on-line and printed in 12 point or larger font;
- (XI) be sent either separately or included as an insert in a regular monthly bill, or, for those customers who have consented to receive e-bills, such notice may be sent electronically with or separately from an e-bill, conspicuously marked and stating clearly that important information on the utility's privacy practices is contained therein;
- (XII) be available in English and Spanish. The customer notice may also be translated to a language other than English or Spanish by a third party or the utility. Forms translated to other languages in accordance with this rule must be accepted by utilities, and may be relied upon, after the English version of the form, the translated version of the form, and an affidavit attesting to the accurate and complete translation from the English version of the form, have been provided to the Commission and the utility possessing the data. Such affidavit must be executed by an interpreter on the active roster of interpreters maintained by the Office of Language Access of the Colorado Judicial Branch. If the utility incurs a cost for translation made at the request of a third party, it shall charge the requestor for such cost and may include a reasonable administrative fee in addition to the translation cost; and,
- (XIII) provide a customer service phone number and web address where customers can direct additional questions or obtain additional information regarding their customer data, the disclosure of customer data or aggregated data, or the utility's privacy policies and procedures with respect to customer data or aggregated data.

3029. Customer Consent Form for the Disclosure of their Customer Data to Third party Recipients by a Utility.

- (a) A utility shall make available to any third party a consent form for the disclosure of customer data that is maintained by the Commission and available from the Commission's website. The form shall be provided electronically from the utility. The consent form shall be provided in a non-electronic format by a utility open request from a customer or third party.
- (b) In addition to the Commission supplied form a utility may create a consent form that:

- (I) includes the same information contained in the annual notice provided under subparagraphs 3028(a)(VI) and (XII);
 - (II) provides spaces for the following required information regarding the third party recipient of the customer data:
 - (A) the name, including trade name if applicable, physical address, mailing address, e-mail address, and telephone number;
 - (B) the uses of the data for which the customer is allowing disclosure;
 - (C) the time period (e.g., months, years) for which data are being requested;
 - (D) the description of the data that are being requested;
 - (III) states that the consent is valid until terminated;
 - (IV) states that the customer must notify the utility service provider in writing (electronically or non-electronically) to terminate the consent including appropriate utility contact information;
 - (V) states any additional terms except an inducement for the customer's disclosure;
 - (VI) provides notice to the customer that the utility shall not be responsible for monitoring or taking any steps to ensure that the third party to whom the data is disclosed is maintaining the confidentiality of the data or using the data as intended by the customer; and,
- (c) A Tier I utility shall create an electronic customer consent process for disclosure of customer data to a third party (e.g., a utility controlled web portal) that authenticates the customer identity. The contents of the electronic consent process must generally follow the format of the model consent to disclose customer data form, be clear, and include the elements to be provided pursuant to paragraph (a) of this rule. No utility is required to provide an electronic consent process in a language other than English.
- (d) A utility may make available an in-person consent process for disclosure of customer data.
- (e) A consent form may be submitted to the utility through electronic or non-electronic methods.
- (f) The scope of consent given shall be defined by the terms of the consent form, except that changes of contact names for an organization, trade name, or utility over time do not invalidate consent as to the respective organization, trade name, or utility. Because the contact named for an organization, trade name, or utility is a representative of the respective organization, trade name, or utility, consent terminates as to such contact when the relation with the organization, trade name, or utility terminates. Modifications to the consent form over time do not invalidate previous consent. Consent need not be provided on new forms so long as the data provided remains within the scope of consent.

- (g) Customer Consent Forms shall be available in English and Spanish. Customer consent forms may be translated to into languages other than English or Spanish by a third party or the utility. Forms translated to other languages in accordance with this rule must be accepted by utilities, and may be relied upon, after the English version of the form, the translated version of the form, and an affidavit attesting to the accurate and complete translation from the English version of the form, have been provided to the Commission and the utility possessing the data. Such affidavit must be executed by an interpreter on the active roster of interpreters maintained by the Office of Language Access of the Colorado Judicial Branch. If a utility incurs a cost for a translation at the request of a third party, it shall charge the requestor for such cost and may include a reasonable administrative fee in addition to the translation cost.

3030. Access to Customer Data for the Provision of Regulated Utility Service.

- (a) A utility may disclose customer data to a contracted agent, provided that the contract requires the agent to:
- (I) implement and maintain data security procedures and practices to protect the customer data from unauthorized access, destruction, use, modification, or disclosure that are equal to or greater than the data privacy and security policies and procedures used by the utility internally to protect customer data;
 - (II) use customer data solely for the purpose of the contract and prohibits the use of customer data for a secondary commercial purpose not related to the purpose of the contract without first obtaining the customer's consent as provided for in these rules;
 - (III) return to the utility or destroy any customer data that is no longer necessary for the purpose for which it was transferred; and
 - (IV) execute a non-disclosure agreement with the utility.
- (b) The utility shall maintain records of the disclosure of customer data to contracted agents for a minimum of three years. Such records shall include all contracts with the contracted agent and executed non-disclosure agreements.

3031. Local Government Access to Customer Data from a Utility.

- (a) A utility may disclose customer data to a local government either with an audit required to be provided pursuant to a final Commission decision or for an audit conducted by a governmental entity of fees paid to them by the utility, provided that:
- (I) disclosure is not otherwise prohibited by a final Commission decision (e.g. Commission-approved franchise between the utility and the local government);
 - (II) disclosure is made to a designated auditor or auditor's office, who is either an employee or agent of the local government;
 - (III) the auditor collects and uses the customer data solely for the purpose of reviewing or conducting the audit and is prohibited from disclosing or using the customer data for a purpose not related to the audit;

- (IV) the local government implements and maintains data security procedures and practices to protect the customer data from unauthorized access, destruction, use, or modification;
 - (V) the local government destroys or returns to the utility of any customer data no longer necessary for the purpose for which it was transferred unless state law or the municipality's state-mandated retention schedule requires otherwise;
 - (VI) the local government agrees not to permit access to the data by anyone that has not agreed to abide by the terms pursuant to which the data was provided by the utility. This includes, but is not limited to, all interns, subcontractors, staff, other workforce members, and consultants;
 - (VII) the local government agrees that any recipient of the data pursuant to this rule does not obtain any right, title or interest in any of the data provided by the utility; and,
 - (VIII) governing law or a non-disclosure agreement executed with the utility requires that the local government, at a minimum, comply with the requirements of this rule.
- (b) The utility shall maintain records of all of the disclosures of customer data to local government requestors. Such records must specify the identity of the requestor, the electronic or mail address to which requested information is to be delivered, the authority or authorization for the request, from the local government, a description of the customer data disclosed, and any necessary contracts with the local government and executed non-disclosure agreements.
- (c) The utility shall maintain records of customer data disclosures for a minimum of three years.

3032. Third party Access to Customer Data from a Utility.

- (a) Except as provide in this rule, Rule 3027(b), Rule 3030, or Rule 3031, a utility shall not disclose customer data to any third party unless the customer or a third-party acting on behalf of a customer submits a paper or electronic signed consent to disclose customer data form that has been executed by the customer of record.
- (b) Incomplete or non-compliant consent to disclose customer data forms are not valid and shall be rejected by the utility.
- (c) The utility shall maintain records of all of the disclosures of customer data to third party requestors. Such records shall include a copy of the customer's signed consent to disclose customer data form all identifying documentation produced by the third party requestor, the customer's agreed upon terms of use, the date(s) and frequency of disclosure, and a description of the customer data disclosed.
- (d) The utility shall maintain records of customer data disclosures for a minimum of three years and shall make the records of the disclosure of a customer's customer data available for review by the customer within five business days of receiving a paper or electronic request from the customer, or at such greater time as is mutually agreed between the utility and the customer.

3033. Requests for Aggregated Data Reports from a Utility.

- (a) A utility shall not disclose aggregated data unless the recipient is authorized to receive all customer data within the aggregated data, that disclosure otherwise conforms to this rule, Rule 3034, or Rule 3035. In aggregating customer data to create an aggregated data report, a utility must ensure that individual customer data cannot be determined from the aggregated data and that the data does not include any personal information or a unique identifier.
- (b) At a minimum, a particular aggregation must contain at least fifteen customers; and within any customer class, no single customer's customer data or premise associated with a single customer's customer data may comprise 15 percent or more of the total customer data aggregated per customer class to generate the aggregated data report (the "15/15 Rule").
- (c) If a single customer's customer data or premise associated with a single customer's customer data is 15 percent or more of the total aggregated customer data per customer class used to generate the aggregated data report requested, the utility will notify the requestor that the aggregated data, as requested, cannot be disclosed and identify the reason(s) the request was denied. The requestor shall be given an opportunity to revise its aggregated data request in order to address the identified concerns. An aggregated data request may be revised by expanding the number of customers or premise accounts in the request, expanding the geographic area included in the request, combining different customer classes or rate categories, or other applicable means of aggregating.
- (d) A utility shall include in its tariffs a description of standard and non-standard aggregated data reports available from the utility to any requestor. At a minimum, the utility's tariff shall provide the following:
 - (I) a description of standard and non-standard aggregated data reports available from the utility including all available selection parameters (customer data or other data);
 - (II) the frequency of data collection (annual, monthly, daily, etc.);
 - (III) the method of transmittal available (electronic, paper, etc.) and the security protections or requirements for such transmittal;
 - (IV) the charge for providing a standard aggregated data report or the hourly charge for compiling a non-standard aggregated data report;
 - (V) the timeframe for processing requests; and
 - (VI) a request form for submitting a data request for aggregated data reports to the utility identifying any information necessary from the requestor in order for the utility to process the request.
- (e) If a utility is unable to fulfill a non-standard aggregated data report request because it does not have and/or does not elect to or cannot obtain all of the data the requestor wishes to include in the aggregated data report, then the utility may contract with a contracted agent to include the additional data and process it along with the customer data in the utility's possession, to generate a non-standard aggregated data report.

- (f) A utility and each of its directors, officers and employees that discloses aggregated data as provided in these data privacy rules shall not be liable or responsible for any claims for loss or damages resulting from the utility's disclosure of aggregated data.
- (g) A utility shall not provide aggregated customer data in response to multiple overlapping requests from or on behalf of the same requestor that have the potential to identify customer data.

3034. Property Owner Request for Whole Building Energy Use Data from a Utility.

- (a) If requested by a property owner, a Tier I utility shall provide whole building energy use data to the property owner so long as:
 - (I) the aggregated whole building energy use data contains at least 4 customers or tenants including the property owner's own account; and within any customer class, no single customer's customer data comprises more than 50 percent of the energy use data used to generate the whole building energy use data report;
 - (II) the property owner agrees to not disclose the whole building energy use data except for the purposes of building benchmarking, identifying energy efficiency projects, and energy management; and,
 - (III) the property owner signs a non-disclosure agreement with the utility requiring the property owner, at a minimum:
 - (A) to take appropriate administrative, technical, and physical safeguards to protect the data from any unauthorized use or disclosure to protect the data from unauthorized access, destruction, use, modification, or disclosure;
 - (B) to only use the whole building energy use data for the purposes of building benchmarking, identifying energy efficiency projects, energy management, and complying with laws or ordinances;
 - (C) agree to not attempt to determine an individual utility customer's energy use from the whole building energy use data and not to use the information to contact the subject of the information;
 - (D) agree to not use of the data for a secondary commercial purpose not related to the authorized purpose without first obtaining the customer's consent as provided for in these rules;
 - (E) destroy any data that is no longer necessary for the purpose for which it was transferred;
 - (F) agree not to permit access to the data by anyone that has not agreed to abide by the terms pursuant to which the data was provided by the utility. This includes, but is not limited to, all interns, subcontractors, staff, other workforce members, and consultants; and,

- (G) agree that any recipient of the data pursuant to this rule does not obtain any right, title or interest in any of the data provided by the utility.

3035. Community Energy Reports

- (a) A Tier I utility shall generate a community energy report for each local government other than a Colorado county included in its service territory with 50,000 or more residents. A Tier I utility shall generate a community energy report for each Colorado county included in its service territory with 100,000 or more residents. Any local government with fewer than 50,000 residents and Colorado county with fewer than 100,000 residents or a minority of whom are served by a Tier I utility shall be treated as if it had 50,000 or more residents served by the Tier I Utility until such time as the request is withdrawn or cancelled by submitting such a request to the utility. All population thresholds shall be based on the most recent population estimate from the Colorado State Demography Office and where the utility serves the majority of the population.
- (b) On or before June 1 of every year, a Tier I utility shall make publicly available for download all community energy reports generated for the prior year. Reports shall be available in an electronic machine-readable form that conforms to nationally recognized open standards and best practices.
- (c) The community energy report shall include the following information and aggregated data for the utility and its customers and specific to the local government for the prior calendar year, so long as the data meet the aggregation standards in paragraph (d) of this rule:
- (I) the annual kilowatt hours consumed by customers, provided by residential, commercial, and industrial classes, and street lighting;
 - (II) the average number of customers in the residential, commercial, and industrial class;
 - (III) the utility's emissions factor;
 - (IV) the utility's electric generation resource mix;
 - (V) the total capacity of retail renewable distributed generation (as defined at Rule 3652(ff)) installed in the local government's jurisdiction and the total annual kilowatt hours produced from that generation; and,
 - (VI) the total annual energy saved (in kilowatt hours) from energy efficiency measures installed in the so long as the data meets the aggregation standards described in paragraph (d) of this rule.
- (d) For all non-residential customer classes reported as described in paragraph (c), the aggregated data must contain at least 15 customers and no single customer's customer data comprises more than 15 percent of the total customer data aggregated in that customer class. For residential customer classes reported as described in paragraph (c), the aggregated data must meet the 15/15 rule aggregation standard in Rule 3033:

- (e) In the event of a dispute regarding delineation of jurisdictional boundaries, a local government may submit, or have another local government submit, a GIS data to define its jurisdictional boundaries prior to the issuance of the community energy report.
- (f) Upon request by a local government, a Tier II utility shall generate a community energy report to the extent, and based upon, information available in the ordinary course of business.
- (g) Availability of the community energy report does not preclude a local government from requesting non-standard aggregated data reports. entity.

3036. – 3099. [Reserved].

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[indicates omission of unaffected rules]

3976. Regulated Electric Utility Rule Violations, Civil Enforcement, and Civil Penalties.

An admission to or Commission adjudication for liability for an intentional violation of the following may result in the assessment of a civil penalty of up to \$2,000.00 per offense. Fines shall accumulate up to, but shall not exceed, the applicable statutory limits set in § 40-7-113.5, C.R.S.

Citation	Description	Maximum Penalty Per Violation
	Articles 1-7 of Title 40, C.R.S.	\$2000
	Commission Order	\$2000
Rule 3005(a)-(c);(f)	Records and Record Retention	\$2000
Rule 3027(a)	Collection and Use of Customer Data	\$1000
Rule 3027(b)	Disclosure of Customer Data	\$2000
Rule 3027(c)	Tariff	\$1000
Rule 3027(d)	Disclosure of Customer Data	\$1000
Rule 3028(a)	Customer Notice	\$1000
Rule 3029(a),(b)	Consent Form	\$1000

Rule 3030(a)	Disclosure of Customer Data	\$2000
Rule 3030(b)	Records	\$1000
Rule 3032(a)	Disclosure of Customer Data	\$2000
Rules 3030(b) and 3032(d)	Consent and Records	\$1000
Rule 3033(a)	Disclosure of Aggregated Data	\$2000
Rule 3033(d)	Tariff	\$1000
Rule 3100(a)	Obtaining a Certificate of Public Convenience and Necessity for a Franchise	\$2000
Rule 3101(a)	Obtaining a Certificate of Public Convenience and Necessity or Letter of Registration to Operate in a Service Territory	\$2000
Rule 3102(a)	Obtaining a Certificate of Public Convenience and Necessity for Facilities	\$2000
Rule 3103(a),(c),(d)	Amending a Certificate of Public Necessity for Changes in Service Territory or Facilities	\$2000
Rule 3108(a),(c)	Keeping a Current Tariff on File with the Commission	\$2000
Rule 3109	Filing a New or Changed Tariff with the Commission	\$2000
Rule 3110(b),(c)	Filing an Advice Letter to Implement a Tariff Change	\$2000
Rule 3200(a),(b)	Construction, Installation, Maintenance and Operation of Facilities in Compliance with Accepted Engineering and Industry Standards	\$2000
Rule 3204	Reporting Incidents Resulting in Death, Serious Injury, or Significant Property Damage	\$2000
Rule 3210	Line Extensions	\$2000
Rule 3251	Reporting Major Events	\$2000

Rule 3252	Filing a Report on a Major Event with the Commission	\$2000
Rule 3303(a)-(j)	Meter Testing	\$2000
Rule 3306	Record Retention of Tests and Meters	\$2000
Rule 3309	Provision of Written Documentation of Readings and Identification of When Meters Will be Read	\$2000
Rule 3401	Billing Information, Procedures, and Requirements	\$2000
Rule 3603	Resource Plan Filing Requirements	\$2000
Rule 3654(a),(d)	Renewable Energy Standards	\$2000
Rule 3657(a)	QRU Compliance Plans	\$2000
Rule 3662	Annual Compliance Reports	\$2000
Rule 3803(c)	Master Meter Exemption Requirements	\$2000
Rule 3004(b)-(f)	Disputes and Informal Complaints	\$1000
Rule 3202(a),(b),(f),(g)	Maintaining a Standard Voltage and Frequency	\$1000
Rule 3203(a),(b)	Trouble Report Response, Interruptions and Curtailments of Service	\$1000
Rule 3405	Provision of Service, Rate, and Usage Information to Customers	\$1000
Rule 3406	Provision of Source Information to Customers	\$1000
Rule 3253	Filing a Supplemental Report on a Major Event with the Commission	\$1000
Rule 3208(a)-(c)	Poles	\$500
Rule 3403(a)-(q);(s)	Applications for Service, Customer Deposits, and Third Party Guarantees	\$500
Rule 3658	Standard Rebate Offer	\$500
Rule 3006(a),(b),(e)-(m)	Annual Reporting Requirements	\$100
Rule 3304	Scheduled Meter Testing	\$100

Rule 3305	Meter Testing Upon Request	\$100
Rule 3402(a),(c),(d)	Meter and Billing Error Adjustments	\$100
Rule 3404(a)-(f)	Availability of Installation Payments to Customers	\$100
Rule 3407	Discontinuance of Service	\$100
Rule 3408(a)-(g);(i)	Notice of Discontinuance of Service	\$100
Rule 3409	Restoration of Service	\$100
Rule 3411(c)(IV),(d)(I),(d)(II),(e)	Low-Income Energy Assistance Act	\$100
Rule 3614	Filing of Annual Reports	\$100

3977. – 3999. [Reserved].

GLOSSARY OF ACRONYMS

CAAM –	Cost Allocation and Assignment Manual
CCR –	Colorado Code of Regulations
C.F.R. –	Code of Federal Regulations
CPCN -	Certificate of Public Convenience and Necessity
CRCP –	Colorado Rules of Civil Procedure
C.R.S. -	Colorado Revised Statutes
EAO –	Energy Assistance Organization
e-mail -	Electronic mail
FERC –	Federal Energy Regulatory Commission
FDC -	Fully Distributed Cost
GAAP -	Generally Accepted Accounting Principles
HZ –	Hertz (cycles per second)
IEEE –	the Institute of Electrical and Electronics Engineers
IPP –	Independent Power Producer
KW –	KiloWatt (1 KW = 1,000 Watts)
kWh –	Kilowatt-hour
MMO –	Master Meter Operator
MW –	MegaWatt (1 MW = 1,000 KiloWatts)
MWH –	MegaWatt-hour
OCC –	Colorado Office of Consumer Counsel
RUS –	Rural Utilities Service of the United States Department of Agriculture
USOA –	Uniform System of Accounts