

BEFORE THE PUBLIC UTILITIES COMMISSION

STATE OF COLORADO

Docket No. 03G-305EC

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STIPULATION OF SETTLEMENT OF CIVIL PENALTY ASSESSMENT NO. 28221-CPAN AND MOTION TO CLOSE DOCKET NO. 03G-305EC.

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PUBLIC UTILITIES COMMISSION,

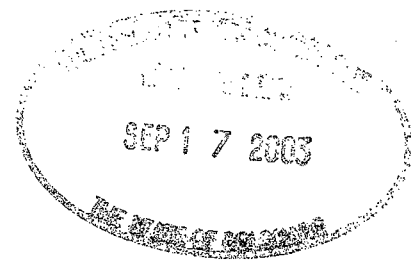
Complainant,

v.

Jonathan Schurman DBA Alpine Luxury Limo

Respondent.

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Trial Staff of the Commission ("Staff") and Alpine Luxury Limo ("Respondent") enter into this Stipulation and request that the Commission issue an order approving its terms as a complete resolution of all issues in this docket. In support of the Stipulation, Staff and Respondent state as follows:

1. On June 23, 2003, Civil Penalty Assessment Notice No. 28221-CPAN ("CPAN") was issued to Respondent by Commission Investigator Paul J. Hoffman. Mr. Hoffman issued the CPAN as the result of the periodic safety and compliance audit he performed. A copy of the CPAN is attached as Appendix A, and by reference incorporated herein.

2. In the CPAN, Respondent was charged with one violation of 4 CCR 723-15; Part 391.21 (no employment application), one violation of 4 CCR 723-15; Part 391.25(a) (failed to make annual inquiry into driving record), one violation of 4 CCR 723-15; Part 391.25(b) (failed to annually review driving record), one violation of 4 CCR 723-15; Part 391.27(a) (failed to have driver provide annual list of violations), two violations of 4 CCR 723-15; Part 391.45(b)(1) (failed to have driver medically certified and qualified each 24 months), one violation of 4 CCR 723-15; Part 396.3(b)(3) (failed to prepare a complete record of inspection, repairs and maintenance) and one violation of 4 CCR 723-15; Part 396.11(a) (failed to have drivers prepare the DVIR).

3. Section 40-7-113(1)(f), C.R.S., and Rule 12.5 of 4 CCR 723-15, allows for a penalty of up to \$200.00 per violation. Staff sought maximum penalties in this case. The total amount of the CPAN is \$1,600.00.

4. For the purpose of resolving all issues in this docket, Staff and Respondent now desire to compromise and settle the charges contained in the CPAN. STAFF AND RESPONDENT HEREBY STIPULATE AND AGREE AS FOLLOWS:

a. Respondent admits to all violations as contained in Civil Penalty Assessment No. 28221-CPAN.

b. Respondent agrees to take, and indeed has already taken, measures to ensure that the company and its drivers are maintaining the required records reflecting their activities. Further, Respondent understands that it will be subject to future safety audits to ensure compliance, and the results of that audit may result in monetary assessments for continued non-compliance.

c. In consideration of Respondent's admissions in subparagraph (4)(a), above, and Respondent's cooperation detailed in paragraph (4)(b) above, Staff agrees to reduce the amount of the penalty from \$1,600.00 to \$800.00.

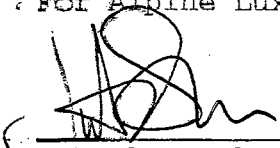
d. **Payment.** Respondent agrees to pay to the Public Utilities Commission the amount of \$800.00 as payment in full of 28221-CPAN. Payment is to be made **within ten days** after the issuance of a final decision of the Commission approving this Stipulation. PROVIDED, HOWEVER, that if the Respondent does not make the payment as agreed to herein, then (1) the Respondent's admissions in subparagraph (4)(a), above, shall constitute conclusive evidence that the violations occurred and that the fines therefor are justified, and (2) the Respondent shall be immediately liable for the full amount of the penalty, \$1,600.00.

5. In the event that this Stipulation is modified or not approved in its entirety, either Staff or Respondent, at that party's option, may withdraw from this Stipulation. In that event, Docket No. 03G-305EC shall be set for hearing.

6. The Staff of the Colorado Public Utilities Commission and Respondent request that the presiding Administrative Law Judge accept this Stipulation in settlement of 28221-CPAN, issue an Order consistent with this Stipulation and its provisions, and close Docket No. 03G-305EC.

Dated this 16<sup>TH</sup> day of September, 2003.

For Alpine Luxury Limo

  
Jonathan Schurman, Owner  
Alpine Luxury Limo  
P.O. Box 3609  
Telluride, Colorado 81435

Date: 9/5/03

For Staff of the Colorado Public Utilities Commission

Date: \_\_\_\_\_

Robert Laws, Senior Compliance Investigator  
Colorado Public Utilities Commission  
1580 Logan Street, OL2  
Denver, CO 80203

RECEIVED

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SEP 15 2003

TOTAL P.05

PUBLIC UTILITIES COMMISSION  
STATE OF COLORADO

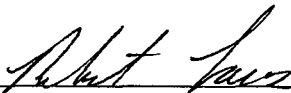
For Alpine Luxury Limo

Date: \_\_\_\_\_

\_\_\_\_\_  
Jonathan Schurman, Owner  
Alpine Luxury Limo  
P.O. Box 3609  
Telluride, Colorado 81435

For Staff of the Colorado Public Utilities Commission

Date: 9/15/03

  
\_\_\_\_\_  
Robert Laws, Senior Compliance Investigator  
Colorado Public Utilities Commission  
1580 Logan Street, OL2  
Denver, CO 80203

# CIVIL PENALTY ASSESSMENT NOTICE OR NOTICE OF COMPLAINT TO APPEAR

Appendix I  
Docket No. 03G-305EC  
Decision No. R03-1080  
September 22, 2003  
Page 7 of 10

NO. 28221-CPAN

Public Utilities Commission of the State of Colorado  
v.

Jonathan Schurman, dba Alpine Luxury Limo (Respondent)

PUC Authority #: LL-750

Mailing Address: P. O. Box 3609

Telluride, Colorado 81435

No.	Date of Violation	Violation Cite	Nature of Violation	Place of Violation	Penalty
1	June 3, 2003	4CCR723-15-2; Part 391.21	No employment application-C. Greathouse.	Telluride, Colorado	\$200.00
2	June 3, 2003	4CCR723-15-2; Part 391.25(a)	Failed to make annual inquiry into driving record: J. Schurman.	Telluride, Colorado	\$200.00
3	June 3, 2003	4CCR723-15-2; Part 391.25(b)	Failed to annually review driving record: M. Schurman.	Telluride, Colorado	\$200.00
4	June 3, 2003	4CCR723-15-2; Part 391.27(a)	Failed to have driver provide annual list of violations: M. Schurman	Telluride, Colorado	\$200.00
5	June 2, 2003	4CCR723-15-2; Part 391.45(b) (1)	Failed to have driver medically certified and qualified each 24 months: J. Schurman.	Telluride, Colorado	\$200.00
6	June 3, 2003	4CCR723-15-2; Part 391.45(b) (1)	Failed to have a driver medically certified and qualified each 24 months: C. Salonya.	Telluride, Colorado	\$200.00
7	June 3, 2003	4CCR723-15-2; Part 396.3(b) (3)	Failed to prepare a complete record of inspection, repairs & maintenance: Unit T-1.	Telluride, Colorado	\$200.00
8	June 3, 2003	4CCR723-15-2; Part 391.11(a)	Failed to have DRIVERS prepare the D.V.I.R.	Telluride, Colorado	\$200.00

Total Penalty: \$1,600.00

I HEREBY ACKNOWLEDGE RECEIPT OF THIS NOTICE.

Respondent's Signature

UPON PAYMENT OF THIS ASSESSMENT, I ACKNOWLEDGE  
LIABILITY OF ALL VIOLATIONS HEREIN.

(See Instructions on Last Page)

Respondent's Signature

If the penalty assessment is not paid within ten days of the issuance of this notice, then you must contact the Public Utilities Commission by 4:00 p.m. on July 21, 2003 to set the alleged violation(s) for hearing. Call the P.U.C. at 800/888-0170, ext. 2824, or 303/894-2824.

THE UNDERSIGNED HAS PROBABLE CAUSE TO BELIEVE THAT THE RESPONDENT COMMITTED THE VIOLATION(S) CITED AND AFFIRMS THAT A COPY OF THIS CIVIL PENALTY ASSESSMENT NOTICE OR NOTICE OF COMPLAINT TO APPEAR WAS DULY SERVED UPON THE RESPONDENT.

COMPLAINANT: HOFFMAN

COMPLAINANT

CIVIL PENALTY ASSESSMENT NOTICE  
OR NOTICE OF COMPLAINT TO APPEAR

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Page 8 of 10

NO. 28221-CPAN

Public Utilities Commission of the State of Colorado  
v.

Jonathan Schurman, dba Alpine Luxury Limo (Respondent)

PUC Authority #: LL-750

Mailing Address: P. O. Box 3609

Telluride, Colorado 81435

print last name

signature

INVESTIGATIVE PERSONNEL OF THE COLORADO PUBLIC UTILITIES COMMISSION

DATED THIS 23d DAY OF June, 2003

Copy Distribution:      #1: Commission Records      #3: Enforcement  
                             #2: Respondent                      #4: Colorado Attorney General

Copy #\_\_\_\_\_      THIS IS A LEGAL DOCUMENT -- PLEASE READ ALL ENCLOSED INFORMATION



**THIS IS A LEGAL DOCUMENT -- PLEASE READ ALL ENCLOSED INFORMATION**

This document provides you information about your options upon receipt of this notice. You may pay this civil penalty by mail or in person. Or, you may contest the penalty at a hearing. Please read carefully the following instructions.

**CIVIL PENALTY ASSESSMENT NOTICE INSTRUCTIONS**

The notice you have received is called a Civil Penalty Assessment Notice. The penalty for the alleged violation(s) is described on the preceding pages. It is the prescribed penalty for the stated violation(s) as required by law and specified in the Public Utilities Commission's Rules and Regulations Concerning Civil Penalties for Carriers. You may pay this penalty by mail or in person.

By paying the Civil Penalty Assessment, you acknowledge your liability.

Your payment may be made by money order or check. Do not mail cash. If the payment is mailed, it must be postmarked no later than 10 days from the date of issuance of this notice. This date appears on the fourth line from the bottom of the previous page.

To receive credit for payment, please sign on the previous page of this notice on the signature line adjacent to the statement "UPON PAYMENT OF THIS ASSESSMENT, I ACKNOWLEDGE LIABILITY OF ALL VIOLATION(S) HEREIN" and enclose this signed notice with the payment. The address to mail or pay in person is:

Public Utilities Commission  
1580 Logan Street, Office Level Two  
Denver, CO 80203

**COMPLAINT TO APPEAR NOTICE INSTRUCTIONS**

If you decide to not pay the penalty and want to contest it, you are required to contact the Public Utilities Commission by 4 p.m. on or before the date and at the telephone number shown on the previous page. When you call the Commission, be prepared to provide dates when you will be available to attend a hearing on this issue. If you fail to contact the Commission before 4 p.m. on the date shown, the Commission shall set the hearing date without any preference to your wishes. You will be notified about the hearing date. If you are under 18 years of age, you must be accompanied by a parent or a guardian at the hearing.

CERTIFICATE OF SERVICE

This is to certify that I have duly served the within  
STIPULATION OF SETTLEMENT OF CIVIL PENALTY ASSESSMENT NO. 28221-  
CPAN AND MOTION TO CLOSE DOCKET NO. 03G-305EC upon all parties  
herein, by U.S. mail or in person, on this 16<sup>th</sup> day of  
September, 2003.

Jonathan Schurman, President  
Alpine Luxury Limo  
P.O. Box 3609  
Telluride, Colorado 81435

Bruce N. Smith, Director  
Public Utilities Commission  
1580 Logan Street, OL-2  
Denver, CO 80203

Robert C. Laws  
Staff of the Commission  
1580 Logan Street, OL2  
Denver, CO 80203

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COMPLIANCE INVESTIGATOR