

Decision No. R14-0189-I

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF COLORADO

PROCEEDING NO. 14G-0031CP

COLORADO PUBLIC UTILITIES COMMISSION,

COMPLAINANT,

V.

COLORADO TOUR LINE, L.L.C., DOING BUSINESS AS
GRAY LINE OF DENVER,,

RESPONDENT.

**INTERIM DECISION OF
ADMINISTRATIVE LAW JUDGE
MANA L. JENNINGS-FADER
REQUIRING RESPONDENT TO RETAIN
LEGAL COUNSEL AND ADVISING
RESPONDENT OF CONSEQUENCES OF
FAILING TO RETAIN LEGAL COUNSEL**

Mailed Date: February 19, 2014

I. STATEMENT

1. On January 3, 2014, the Commission appears to have served, by certified mail (return receipt requested), Civil Penalty Assessment Notice or Notice of Complaint No. 108367 (the CPAN) on Colorado Tour Line, L.L.C., doing business as Gray Line of Denver (CTL or Respondent). That CPAN commenced this Proceeding.

2. On January 24, 2014, counsel for Trial Staff of the Commission (Staff) entered his appearance in this Proceeding. In that filing and pursuant to Rule 4 *Code of Colorado*

Regulations (CCR) 723-1-1007(a),¹ Staff counsel identified the trial Staff and the advisory Staff in this Proceeding.

3. Staff and CTL, collectively, are the Parties.

4. On January 29, 2014, by Minute Order, the Commission assigned this Proceeding to an Administrative Law Judge (ALJ).

5. Rule 4 CCR 723-1-1201(a) requires a party in a proceeding before the Commission to be represented by an attorney except that, pursuant to Rule 4 CCR 723-1-1201(b)(II) and as relevant here, an individual who is not an attorney may appear to represent the interests of a closely-held entity *provided* the requirements of § 13-1-127, C.R.S., are met. The Commission has held that, unless an exception applies, a party in an adjudicatory proceeding must be represented by legal counsel. In addition, the Commission has held that, if a party does not establish that an exception applies to it, there are two consequences: first, filings made by a non-attorney on behalf of the party are void and of no legal effect; and, second, the party must be represented by an attorney in order to participate in the proceeding (*e.g.*, in a hearing, a prehearing conference, or an oral argument).

6. This is an adjudication before the Commission. Respondent is a limited liability company, is a party in this matter, and is not represented by counsel in this Proceeding.

7. By Decision No. R14-0121-I at ¶ 9 and Ordering Paragraph No. 1, the ALJ ordered CTL either to retain legal counsel for this Proceeding or to show cause why it should be permitted to proceed in this matter without legal counsel. If Respondent chose to retain counsel,

¹ This Rule is found in the Rules of Practice and Procedure, Part 1 of 4 *Code of Colorado Regulations* 723.

its counsel was to enter an appearance on or before February 14, 2014. If Respondent chose to show cause, it was to make its show cause filing on or before February 14, 2014.

8. Decision No. R14-0121-I contained the following advisements:

CTL is advised, and is on notice, that if it fails either to show cause or to have its attorney file an entry of appearance as required by this Interim Decision, the ALJ will issue a subsequent Interim Decision that requires CTL to retain counsel to represent it in this Proceeding.

CTL is advised, and is on notice, that if the ALJ issues a subsequent Decision that requires CTL to retain legal counsel, then CTL will not be permitted to participate in this matter without an attorney. This means, among other things, that CTL will not be able to participate in the evidentiary hearing in this matter.

Id. at ¶¶ 12-13 (bolding in original).

9. On January 31, 2014, by first-class mail, the Commission mailed Decision No. R14-0121-I to Respondent at the Colorado Springs, Colorado mailing address known to the Commission and shown on the CPAN. As of the date of this Interim Decision, Decision No. R14-0121-I has not been returned to the Commission as undeliverable. Respondent is presumed to have received, and thus to have notice of the requirements in, Decision No. R14-0121-I.

10. As of the date of this Interim Decision, no attorney has entered an appearance on behalf of Respondent. As of the date of this Interim Decision, Respondent has not requested additional time within which to retain legal counsel.

11. As of the date of this Interim Decision, Respondent has not made a filing in response to the order to show cause (*i.e.*, Decision No. R14-0121-I). As of the date of this Interim Decision, Respondent has not requested additional time within which to respond to the order to show cause.

12. Without explanation, Respondent has failed to comply with the Decision No. R14-0121-I requirement that Respondent either retain legal representation in this Proceeding or make a show cause filing. In clear language, the ALJ advised Respondent of the consequences if it failed to comply with Decision No. R14-0121-I.

13. The ALJ finds that, although given reasonable opportunity to do so, Respondent has not established that it comes within the Rule 4 CCR 723-1-1201(b)(II) exception. Therefore, in accordance with Rule 4 CCR 723-1-1201(a), the ALJ finds that Respondent must be represented by an attorney in this Proceeding.

14. The ALJ will order Respondent to retain an attorney to represent it in this matter and will order the attorney for Respondent to enter an appearance not later than **February 28, 2014.**

15. **Respondent is advised, and is on notice, that it cannot proceed in this case without an attorney who is admitted to practice law in, and who is in good standing in, Colorado.**

16. **Respondent is advised, and is on notice, that if its legal counsel does not enter an appearance in this Proceeding as required by this Interim Decision, Respondent will not be able to participate in, or to make filings in, this Proceeding.** This means, among other things, that Respondent will not be able to participate in the evidentiary hearing in this case.

II. ORDER

A. It Is Ordered That:

1. Respondent Colorado Tour Line, L.L.C., doing business as Gray Line of Denver, shall retain an attorney, who is licensed to practice law in Colorado and who is in good standing, to represent it in this Proceeding.

2. Not later than February 28, 2014, the attorney for Respondent Colorado Tour Line, L.L.C., doing business as Gray Line of Denver, shall enter an appearance in this Proceeding.

3. If the attorney for Respondent Colorado Tour Line, L.L.C., doing business as Gray Line of Denver, does not enter an appearance on or before February 28, 2014 as required by Ordering Paragraph No. 2, then Respondent Colorado Tour Line, L.L.C., doing business as Gray Line of Denver, is prohibited from participating in, or making filings in, this Proceeding.

4. The Parties are held to the advisements in the Interim Decisions issued in this Proceeding.

5. This Interim Decision is effective immediately.

(S E A L)



ATTEST: A TRUE COPY

Doug Dean,
Director

THE PUBLIC UTILITIES COMMISSION
OF THE STATE OF COLORADO

MANA L. JENNINGS-FADER

Administrative Law Judge