

COMPLIANCE APPENDIX

NOTE: All compliance filings are to be made in Docket No. 09A-324E and are to be served on the Parties. The specific dates may be changed by filing a notice in Docket No. 09A-324E and serving all parties. A formal motion and Commission decision will not be required. If action needs to be taken the Commission will do so on its own motion.¹

Within 90 days of the date of the final Commission decision in this transmission proceeding: Public Service shall make a compliance filing that explains the method, and shows the accounts, that it will use to track Project-related monies collected from ratepayers.

December 31, 2011 ~~June 30, 2011~~: Applicants shall file, as a compliance filing, their final Joint Project Participation Agreement for the Project. Applicants shall make this filing within 30 days of the date on which the final Joint Project Participation Agreement for the Project is signed, but in no event later than December 31, 2011 ~~June 30, 2011~~.

January 1, 2012: Within 30 days of the date on which the Rural Utilities Service (RUS) issues its Record of Decision on Tri-State Generation and Transmission Association's loan application, Tri-State Applicants shall file, as a compliance filing, (a) a copy of the RUS Record of Decision; (b) a report that informs the Commission whether the RUS-preferred Project corridors are consistent or inconsistent with the CPCN; and (c) if there is an inconsistency, a report that informs the Commission of the action(s) that Tri-State Applicants propose to take in light of the inconsistency and why. Applicants shall make this filing within 30 days of the date on which the RUS Record of Decision is issued, but in no event later than January 1, 2012.

January 1, 2013: Applicants shall file, as a compliance filing, the final route of the San Luis-Valley-Calumet-Comanche transmission line and the final route of the Calumet-Walsenburg transmission line. Applicants shall make this filing on or before January 1, 2013, but in no event later than 15 days following final siting approval for the Project.

January 1, 2016: Applicants shall file, as a compliance filing, information about any significant change in the Project. Applicants shall make this filing on or before January 1, 2016, but in no event later than 15 days following the Project's in-service date.

January 1, 2016: Applicants shall file, as a compliance filing a high-level cost update of preliminary as-built cost data, including (but not limited to) consulting, engineering labor, construction labor, land acquisition, and materials, broken down for the Project's substation and transmission line components. Applicants shall make this filing on or before January 1, 2016, but in no event later than 15 days following the Project's in-service date.

January 1, 2017 ~~2016~~: Applicants shall file, as a compliance filing, all final as-built cost data, including (but not limited to) consulting, engineering labor, construction labor, land acquisition, and materials, broken down for the Project's substation and transmission line components. Applicants shall make this filing on or before January 1, 2017 ~~2016~~, but in no event later than 1 year ~~45 days~~ following the Project's in-service date.

¹ Commission revisions to the Compliance Appendix of the Recommended Decision are indicated by underline and strikeout.