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**ATTACHMENT A**  
**ESTABLISHING PROCEDURES FOR THE FILING OF HEARING EXHIBITS**

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**A. Procedures for Hearing Exhibits.**

1. Any document previously filed by a party that will be offered into evidence but has not been identified in compliance with this Attachment, must be revised and re-filed in accordance with the requirements in this Attachment. Any such filings shall be (a) made within 14 days of the Decision to which these procedures are attached and (b) treated as the original filing of hearing exhibits.<sup>1</sup>

**B. Assignment of Hearing Exhibit Number Blocks**

2. In order to efficiently organize the numbering and preparation of exhibits for the hearing, all parties shall use a unified numbering system for all hearing exhibits.

3. The party initiating the proceeding (here, Public Service) is assigned hearing exhibit numbers, from 100 to 299.

4. Each intervening person or entity is assigned a block of 100 hearing exhibit numbers (*e.g.*, 300-399, 400-499, etc.), as follows:

| <b>Parties</b>        | <b>Hearing Block Numbers</b> |
|-----------------------|------------------------------|
| <i>Public Service</i> | <i>100 – 299</i>             |
| <i>COSSA/SEIA/AEE</i> | <i>300 – 399</i>             |

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<sup>1</sup> Compliance with this Attachment may result in a change to pagination of prior versions of hearing exhibits. References in discovery requests and responses to prior versions of hearing exhibits will be construed to be amended to refer to the latest filed version of such testimony, unless otherwise specified. Although corresponding page and line references may reasonably be affected by such construction, corrected references will not be necessary (*i.e.*, no need to amend) so long as the reference remains in reasonable proximity to the referenced material.

|                                  |                    |
|----------------------------------|--------------------|
| <i>CEO</i>                       | <i>400 – 499</i>   |
| <i>UCA</i>                       | <i>500 – 599</i>   |
| <i>WRA</i>                       | <i>600 – 699</i>   |
| <i>City of Boulder</i>           | <i>700 – 799</i>   |
| <i>SWEEP</i>                     | <i>800 – 899</i>   |
| <i>Vote Solar</i>                | <i>900 – 999</i>   |
| <i>Holy Cross Energy</i>         | <i>1000 – 1099</i> |
| <i>CEC</i>                       | <i>1100 – 1199</i> |
| <i>City and County of Denver</i> | <i>1200 – 1299</i> |
| <i>Staff</i>                     | <i>1300 – 1399</i> |

**C. Identification and Filing Requirements for Hearing Exhibits.**

5. In addition to other requirements of the Commission’s Rules of Practice and Procedure, 4 *Code of Colorado Regulations* 723-1 (e.g., Rule 1202 regarding pre-filed testimony), all pre-filed hearing exhibits shall be marked for identification and filed as required by this Attachment.<sup>2</sup>

6. Parties must mark all hearing exhibits for identification in sequential order, using hearing exhibit numbers within their assigned hearing exhibit number block. Each type of a witness’s testimony, including any attachments, (e.g., direct, answer, rebuttal, cross-answer, and supplemental) shall be marked with one hearing exhibit number.<sup>3</sup> Even if comprised of multiple electronic documents, the testimony and attachments will be one hearing exhibit.<sup>4</sup>

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<sup>2</sup> In order to minimize the size and allow electronic text searches of the PDF files, versions filed in PDF format should be generated from the native executable electronic file format when possible.

<sup>3</sup> Each type of testimony should be identified with a different hearing exhibit number. For example, John J. Doe’s direct testimony may be Hearing Exhibit 100 and his rebuttal testimony may be Hearing Exhibit 105.

<sup>4</sup> While marked for identification with one hearing exhibit number, the testimony and each attachment should be filed in compliance with Rule 1202 of the Commission’s Rules of Practice and Procedure, 4 *Code of Colorado*

7. Parties should not duplicate hearing exhibits or attachments previously filed by another party. At the hearing, any party may sponsor an exhibit that was pre-filed by another party.

8. The title of all pre-filed written testimony should include the type of testimony and the name of the witness. For example, if Hearing Exhibit 100 is the direct testimony of witness John J. Doe, the following title should be used: “Hearing Exhibit 100, Direct Testimony of John J. Doe.”

9. Anything accompanying pre-filed written testimony within a hearing exhibit (*e.g.*, exhibits, appendices, attachments, or attachments to filing) shall be referred to as an “attachment” to the testimony and identified by the hearing exhibit number reference, the witness’s initials, and a number sequence. For example, if the party assigned block 300 files answer testimony of Albert B. Cooke, that includes a table as Attachment ABC-1, then the title of the table will be “Hearing Exhibit 300, Attachment ABC-1.” The attachment should not be referred to as Exhibit ABC-1 or Appendix A.

10. When filing hearing exhibits in the Commission’s E-Filings System, parties must title the exhibits to match the title of the hearing exhibit but may not be in all uppercase format. All exhibit titles entered into the E-Filing System must start with “Hearing Exhibit XXX.” Building on the above examples, John J. Doe’s Direct Testimony should be titled “Hearing Exhibit 100, Direct Testimony of John J. Doe” when filing in the Commission’s E-Filing System, and the first attachment to John J. Doe’s testimony should be titled “Hearing Exhibit 100, Attachment JJD-1” when filing.

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*Regulations 723-1*, which provides that the primary document and each secondary document must be separately uploaded in the Commission’s E-Filings system.

**D. Procedures Regarding Confidentiality**

11. Any pre-filed hearing exhibit (including any attachments) filed pursuant to the Standards of Conduct in the Commission’s Rules of Practice and Procedure containing confidential information shall be pre-marked for identification by the same hearing exhibit number within the assigned block identifying the portion in the public record and shall be designated with a “C” following the number of the hearing exhibit or attachment.

12. For example, a witness’s pre-filed written testimony might be identified as “Hearing Exhibit 100, Direct Testimony of John J. Doe.” If portions of the testimony in Hearing Exhibit 100, Direct Testimony of John J. Doe are claimed to be confidential, those portions would be filed in accordance with the Standards of Conduct and identified as “Hearing Exhibit 100C, Direct Testimony of John J. Doe.” Hearing Exhibit 100, Direct Testimony of John J. Doe would remain in the public record (redacted to exclude confidential material).

13. Further, if portions of Hearing Exhibit 100, Direct Testimony of John J. Doe, Attachment JJD-1 are claimed to be confidential, those portions would be filed in accordance with the Standards of Conduct and identified as Hearing Exhibit 100, Attachment JJD-1C. Hearing Exhibit 100, Attachment JJD-1 would remain in the public record (redacted to exclude confidential material). Comparably, should any highly confidential protections be afforded, the hearing exhibit number would be designated with an “HC” following the number.

**E. Form of Hearing Exhibits.**

14. Parties must sequentially number each page of each hearing exhibit; the first page of **each** hearing exhibit must be numbered as page 1, with each additional page numbered in succession. Thus, electronic pagination will match the page numbers printed on the exhibit, which will facilitate electronic navigation during the hearing. For example, the cover page shall be page

1, and all following pages shall be numbered sequentially, including executive summaries, tables of contents, lists of attachments, and pages intentionally left blank.

15. There shall be only one page number shown on each page of all hearing exhibits. Page numbers should be included in the header of each page-numbered hearing exhibit (e.g. placed at the top right corner of each page of the hearing exhibit or attachment included as part of a hearing exhibit). The top line of the header shall include the title of the document (e.g., Hearing Exhibit 400, Answer Testimony of Albert B. Cooke or Hearing Exhibit 400, Attachment ABC-1, Page 1).

16. The form of revisions to filed documents may be modified from the requirements of Rule 1202, 4 CCR 723-1, in order to minimize the resulting impact to page and line references (e.g., widen a paragraph margin to insert a word).

#### **F. Additional Requirements for Executable Hearing Exhibits**

17. Any hearing exhibit or attachment created from a spreadsheet and relying on foundation not viewable in the exhibit shall be filed in both PDF format and in the native executable electronic file format (e.g., .xlsx, .ods, .gsheet).<sup>5</sup> Executable spreadsheets must have the various cell formulae or links left intact. Cell formulae must not be converted to values. The executable version must be filed on the same day as the pre-filed PDF version.

18. When filing an executable version in the Commission's E-Filing System, parties must title the document to mirror the non-executable version, except to add the word "Executable"

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<sup>5</sup> When the executable version is filed in native format, the Commission's E-Filings system will convert it into a PDF version and include it in the docket for the proceeding. The docket will then list the "Executable" version of the attachment, but will link to the converted the PDF version of the attachment. Any such PDF version titled as "Executable" (consistent with the naming convention set forth above) will be disregarded for all purposes. It should not be cited by the parties, will not be cited by the Commission, and will not be displayed during the hearing.

after the hearing exhibit number. For example: Hearing Exhibit 300, Executable Attachment ABC-1, or Hearing Exhibit 400, Executable.

19. Parties are not required to: mark executable spreadsheets hearing exhibits, including executable attachments thereto, for identification, paginate such executable exhibits, or file amended, modified, or corrected executable exhibits in redline/strikeout format.

**G. Modifying, Amending, or Correcting a Previously Filed Hearing Exhibit On or Before Deadline for Corrections.**

20. The following procedures shall be followed to modify, amend, or correct a previously filed hearing exhibit **on or before** the ordered deadline for such changes.

21. Except for executable spreadsheet hearing exhibits and attachments, parties must file the complete hearing exhibit or attachment thereto including all changes in redline/strikeout format that highlights the changes as compared to the original.<sup>6</sup> Parties filing more than once to revise a non-executable hearing exhibit or attachment must ensure that the redlined version shows incremental changes as compared to the previous version.

22. For executable spreadsheet hearing exhibits and attachments, parties must file a complete version including all changes without using redline/strikeout format; and an additional notice attachment (*i.e.*, as a secondary document if electronically filed) identifying changes in each revision from the most recent previous revision.

23. Parties must mark and file corrected, modified, and amended hearing exhibits and attachments for identification using the exact same title as the original version of the exhibit or attachment, immediately followed by “Rev.” to indicate a revision, with the revision number. For

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<sup>6</sup> Filing a “clean” version is not necessary and is discouraged.

example, John J. Doe’s modified direct testimony should be marked and filed as “Hearing Exhibit 100, John J. Doe’s Direct Testimony, Rev. 1.” If an additional revision is later filed, it would be marked and filed as “Hearing Exhibit 100, Direct Testimony of John J. Doe, Rev. 2.

24. Parties may not title any revised hearing exhibit or attachment as “corrected,” “modified,” or “amended,” even if the revision corrects, modifies or amends a prior filing.

**H. Modifying, Amending, or Correcting a Previously Filed Hearing Exhibit After Deadline for Corrections.**

25. The following procedures shall be followed to modify, amend, or correct a previously filed hearing exhibit **after** the ordered deadline for such changes.

26. If the native executable electronic file format is **not** a spreadsheet, all changes should be reflected **on replacement pages only** in redline/strikeout format that must be: (a) marked for identification with a hearing exhibit number within the party’s assigned exhibit number block in accordance with the procedures in this Attachment.<sup>7</sup>

27. If the native executable electronic file format **is** a spreadsheet (*e.g.*, .xlsx, .uos, .gsheet), the complete hearing exhibit must be: (a) marked for identification with a hearing exhibit number within the party’s assigned exhibit number block in accordance with the procedures in this Attachment. The changes do *not* need to be in redline/strikeout format. The revision shall also include an additional notice attachment identifying changes in each revision from the most recent previous revision. Additionally, a complete revision of the executable spreadsheet, including all changes, shall be filed in accordance with this Attachment.

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<sup>7</sup> Filing a “clean” version is not necessary and is discouraged.

**I. Revisions to Hearing Exhibits.**

28. So long as the corrected, modified, and amended exhibits or attachments are permitted, the most recent timely revision of a hearing exhibit or attachment will be used for all purposes. As to revised exhibits and attachments filed after the referenced timeframes, if the changes are permitted, the latter replacement pages shall supersede and prevail where they conflict with the previous revision for all purposes.

29. References in hearing exhibits and attachments (*e.g.*, written testimony) to obsolete versions or superseded portions of hearing exhibits and attachments will be construed as referring to the latest filed version of such testimony, unless otherwise specified. Hearing exhibits and attachments whose page and line citations are affected by corrected, modified, or amended exhibits will be construed as citing to the new location (and citation), provided that the prior citation is in reasonable proximity<sup>8</sup> to the new citation.<sup>9</sup> In such circumstances, the parties should not submit revised exhibits merely to correct page and line citations.

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<sup>8</sup> For example, answer testimony citing to page 10, line 5 of an obsolete version of direct testimony is within reasonable proximity to the new citation when it is still on page 10.

<sup>9</sup> The parties may modify the formatting for revised hearing exhibits to minimize the impact to page number and line references and citations (*e.g.*, widen a margin to insert a word without changing the line reference or page number where the modification appears).