



Dora
Department of Regulatory Agencies

Division of Securities
Fred J. Joseph
Securities Commissioner

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Governor

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ADV Part 2A-2B Seminar Recap

On March 2, 2011 the Colorado Division of Securities released a Notice of Electronic Filing Requirement for Existing Investment Advisers. As the Notice states, the Colorado Securities Commissioner requires that *all* existing state licensed investment advisers must convert their Form ADV Part II and Schedule F (or brochure document) to the new ADV Part 2A-2B narrative format, and mandates that the form be uploaded to the Investment Advisory Registration Depository (IARD) system no later than June 30, 2011.

To assist the Colorado investment adviser community in complying with this requirement, the Colorado Division of Securities conducted ten seminars for state licensed investment advisers which were dedicated to the new ADV Part 2A-2B document.

The ADV Part 2A-2B Seminar/Workshops were designed to cover:

- How to approach the document.
- How to work with the document.
- How to upload the document to the IARD system.
- How to maintain the document going forward.



For those Investment Advisers who were not able to attend the Seminars/workshops, the following links will provide guidance and instructions that will assist in completing the required conversion and mandatory upload:

- [ADV Part 2 Guidelines](#)
- [Form ADV Part 2 Instructions](#)
- [ADV Part 2 Firm User](#)

