FOR REVIEWING OF Rail Fixed Guideway S Real Fixed Guideway S oes the plan contain rovide for: he Introduction sect he SSP shall contain policy statement upporting the SSP fr ollowing: policy statement irector of the trans gency. statement of the le uthority for the SSP fr eneral Manager/Exect inector of the trans gency. statement of the le uthority for the SSP fr description of the statement of manage esponsibilities to e he goals and objectives SP. statement of manage esponsibilities to e he goals and objectives of se statement of manage esponsibilities to e he goals and objectives sp.	CHECKLIST FOR REVIEWING OF : Rail Fixed Guideway Synchron Solution Solution Anthony Lovato Sect. Does the plan contain Sect. Does the plan contain Sect. Does the plan contain Sect. The Introduction sect The SSP shall contain For the SSP shall contain Following: A policy statement The Entroduction sect A policy statement Sect. A statement of the le Bency. A statement of the ssP for A statement of the ssP for SSP. A statement of the ssP for SSP. A statement of the ssP for SSP. A clear definition of the ssP. SSP. A statement of manage SSP. A statement of manage SSP. A statement of manage SSP. Becifications of policities to e SSP. Ssp. SSP. A statement of manage SSP. A statement of manage SSP. Becifications of policities to e SSP. Specifications of policities to e Specifications of policities Specification of a description	Rail Fixed Guidewory S Reviewer: Anthony Lovato Reviewer: Anthony Lovato CHECKUISTITEM SPECULE # Does the plan contain 7344 (a) The Introduction sect Throduction Following: 7344 (a) The SSP shall contain 7344 (a) A policy statement 7344 (a) A policy statement 7344 (a) A statement of the lead 7344 (a) A statement of the lead 7344 (a) A description of the lead 7344 (a) A statement of manage 7344 (a) A statement of the lead 7344 (a) A statement of manage 7344 (a) <th>EWING OF SYSTEM SECURITY PLANS ed Guideway System: RTD</th> <th>Date: 11/5/10</th> <th>1 OT YES NO (SECTION)</th> <th>cion of the</th> <th>com the Live XX Inside Cover</th> <th>egal egal xx 1.1</th> <th>the xx 1.2 1.3</th> <th>E the1.4of thexx1.5</th> <th>ement ensure ives of xx 1.6 1.7</th> <th>Exhib Docket No. 10A-77 Decision No. C10-1 Page 1 Page 1 C T XX X X X X X X X X X X</th>	EWING OF SYSTEM SECURITY PLANS ed Guideway System: RTD	Date: 11/5/10	1 OT YES NO (SECTION)	cion of the	com the Live XX Inside Cover	egal egal xx 1.1	the xx 1.2 1.3	E the1.4of thexx1.5	ement ensure ives of xx 1.6 1.7	Exhib Docket No. 10A-77 Decision No. C10-1 Page 1 Page 1 C T XX X X X X X X X X X X
	HANTHAN LAND ANTHONY LOND ANTHO	Reviewer: Anthony L FCKLIST ITEM SSP - RULE # SSP - RULE # Sect. (a) (II) (a) (III) (a) (IV) (a) (IV) (a) (V) (a) (V) (a) (V) (a) (V)	FOR REVIEWING OF SYSTEM SECURITY PL Rail Fixed Guideway System: RTD	Date:	SSP REQUIREMENTS IN PLAN the plan contain or Yes No Ide for:	Introduction section SSP shall contain the owing:	statement ng the SSP from the Manager/Executive xx of the transit	statement of the legal uthority for the SSP.	ption of the and scope of the	r definition of the and objectives of the	t of management lities to ensure and objectives of e achieved.	policies rt the of the cion of

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COMMENTS						Table 2-6	ς					TADIC 2-1 Table 2-1																					Table 2-2 mable 2-2	Table 2-4	
PAGE or	(SECTION)					н. ,		77			٠	3.0	3.1	•						0	 	+ c	1 1	 									2.1		
N	No																							 											
IN PLAN	Yes						XX						XX								> >	ź											X		
SSP REQUIREMENTS	Does the plan contain or provide for:		system security program including:	Tasks to be performed by	il transit secur	+	t accountabil		narrative format.	Security-related tasks to	be performed by other rail	transit departments, by		accountability, specified			res f	updates and modifications	to the SSP including	specification of an annual	ssment of	SSP should be updated and a	requirement of coordination	meframes f	submission, revision, and		security	department activities of	e tra	the SSP	the following:	·	transit agency's process	TOT INTITITY AND MANAGING THE MANAGING THE MANAGING THREATS AND	
SSP	Sect.														ţ																				
CHECKLIST ITEM	PUC- RULE #			7344 (a)	0					7344 (a)	(VI) (B)					7344 (a) (VII)											7344 (b) 2 ·	System security		Activities of	the Transit	7344 (b) (I)			
659	Ref.																					-		 								23 (b)			-

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						Page Page Page Page Page Page Page Page	ge 3 of 7
Page 3	COMMENTS	Table 2-5 (Bus)					
	PAGE or (SECTION)		2.2	2.3	Ч С 4 4 С 8 4 1 . 4 8 1 . 2 . 1 . 1 . 2 . 1	2.5	2.6
	AN No						
	IN PLAN Yes		X	X	×	X	X
	SSP REQUIREMENTS Does the plan contain or provide for:	vulnerabilities, both proactive and reactive, during operations, and for major projects, extensions, new vehicles and equipment including integration with the safety certification process. Such process for include the process for assessment and resolution of the threats and vulnerabilities identified.	Identification of controls in place that address the personal security of passengers and employees.	Documentation of the transit agency's process for conducting internal security reviews to evaluate compliance and measure the effectiveness of the SSP.	Documentation of the transit agency's process for making its SSP and accompanying procedures available to the Commission for review and approval.	Emergency response training, coordination and management both internal and external.	A process for the collection and analysis of security data.
	SSP Sect.						
	CHECKLIST ITEM PUC- RULE #	7344 (b)(I)	7344 (b)(II)	7344 (b)(III)	7344 (b) (IV)		7344 (b) (VI)
	659 Ref.		23 (c)	23 (d)	23 (e)		

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						Exhibit Docket No. 10A-781 Decision No. C10-129 Page 4 of	R 92
COMMENTS			Table 3-1 Table 3-2				
PAGE or (SECTION)	· · · · · · · · · · · · · · · · · · ·	3.0 3.1	3.2		4.0	4.1 2.5	
AN No							
IN PLAN Yes		XX	X		X	×	
SSP REQUIREMENTS Does the plan contain or provide for:		Security related activities of other departments of the transit agency.	A matrix of security related tasks that shows department responsibility.	The system security plan implementation and maintenance section of the SSP shall contain the following:	Program schedule for implementation and maintenance of the SSP which shall contain the following:	Specified time intervals between SSP reviews to determine whether or not the SSP needs to be revised because of changed operating conditions and/or system modifications.	
SSP Sect.							
CHECKLIST ITEM PUC- RULE #	1 1 5 5 5 5 0 0 0	7344 (c)(I)	7344 (c)(II)	7344 (d) System Security Plan Implementation and Maintenance	7344 (d)(I)	7344 (d)(I)(A)	
659 Ref.							

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Fd		F			Exh Docket No. 10A- Decision No. C10- Page t	-1292
COMMENTS				"All RTD departments" and "All program elements"		
PAGE or (SECTION)		4.2	Ч 4 4 Г 7 5 4 4 Г 8 1 7 0 1 1 0 1	1.8 5.2 .1	5.1	5.1
AN						
IN PLAN Yes		X	X	XX	×	X
SSP REQUIREMENTS Does the plan contain or provide for:	the SSP revision process including the identification of the persons responsible for initiating, developing, and approving changes to the SSP.	A statement that the Commission will be notified of all changes to the SSP and supplied with a copy of all revised pages.	A description of the process and procedure for conducting planned and scheduled internal security reviews to evaluate comply with rule 7349 including the review and modification of the SSP based upon audit results. This procedure shall include the following:	Identification of departments and functions subject to review.	The transit agency's schedule for conducting internal reviews and the responsibility for scheduling such reviews.	A description of the process for conducting
SSP Sect.						
CHECKLIST ITEM PUC- RULE #		7344 (d) (I) (C)	7344 (d)(II)	7344 (d)(II)(A)	7344 (d) (II) (B)	7344 (d)(II)(C)
659 Ref.						

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659	CHECKLIST ITEM	45P	SCP REGULEREMENTS	IN PI AN	PAGEor	COMMENTS
Ref.	PUC- RULE #	Sect.	Does the plan contain or provide for:	Yes No		
			reviews, including the			5.2 addresses
			development of written			external
			checklists and procedures			security
			and the issuing of			reviews
			findings.			
	7344 (d) (II) (D)		Written documentation of			
			and]			
			g audit	1 7 7	ر د	
			an eva	X	۲. ۲.	
			the adequacy and			
			effectiveness of the SSP.			
	7344 (d) (II) (E)		A process for the review of			
			reporting requirements.	XX	5.1	
	7344 (d) (II) (F)		0 0 0			
			status of implemented	>	ר ע	
			recommendations.	Ś	ן. ר	
	/344 (a) (TT) (G)		urrement			
			report, a copy of			
			which must be submitted to			
			the Commission by February			
		··	15 each year, which			
			summarizes the results of			
			the internal audits			
			performed during the	XX	5.1	
			previous year, including a			
			summary of required			
			corrective actions taken,			
			if any, and provision for			
			follow up to ensure timely			
			implementation and to			
			determine effectiveness.			
	7344 (d) (II) (H)		Coordination with the			
			Commission.	××	5.1	
	7344 (e)		The system security plan			

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659	CHECKLIST ITEM	SSP	SSP REQUIREMENTS	IN PLAN	N	PAGE or	COMMENTS
Ref.	PUC- RULE #	Sect.	Does the plan contain or provide for:	Yes	Ŷ	(SECTION)	
	System Security		verification section of the				
			SSP shall contain the				
	Verification		following:				
	7344 (e) (I)		A process to ensure that				
			the design and construction				
			of new systems and/or	Ş		6.0	
			extensions comply with the	ž		6.1	
			SSP.				
ŀ	7344 (e) (II)		A process to ensure				
			compliance of existing				
			operating systems with the	X		6.2	
			SSP.				
	7344 (f)		A process to ensite that		-		
	Security andits		security and to and review				Not explicitly
	and review of		of the GCD are conducted				atated.
			• • •			0	bound
			TILCTUATING CONSTANTACTON OF			0.4	
			security aspects of	X		4.2	μριτεά by
			occupational safety and			5.1	including "all"
			health, fire protection,				RTD departments
			safety training, and safety				and programs
			information and reporting.				

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